

# Incident Reporting, Investigation and Analysis

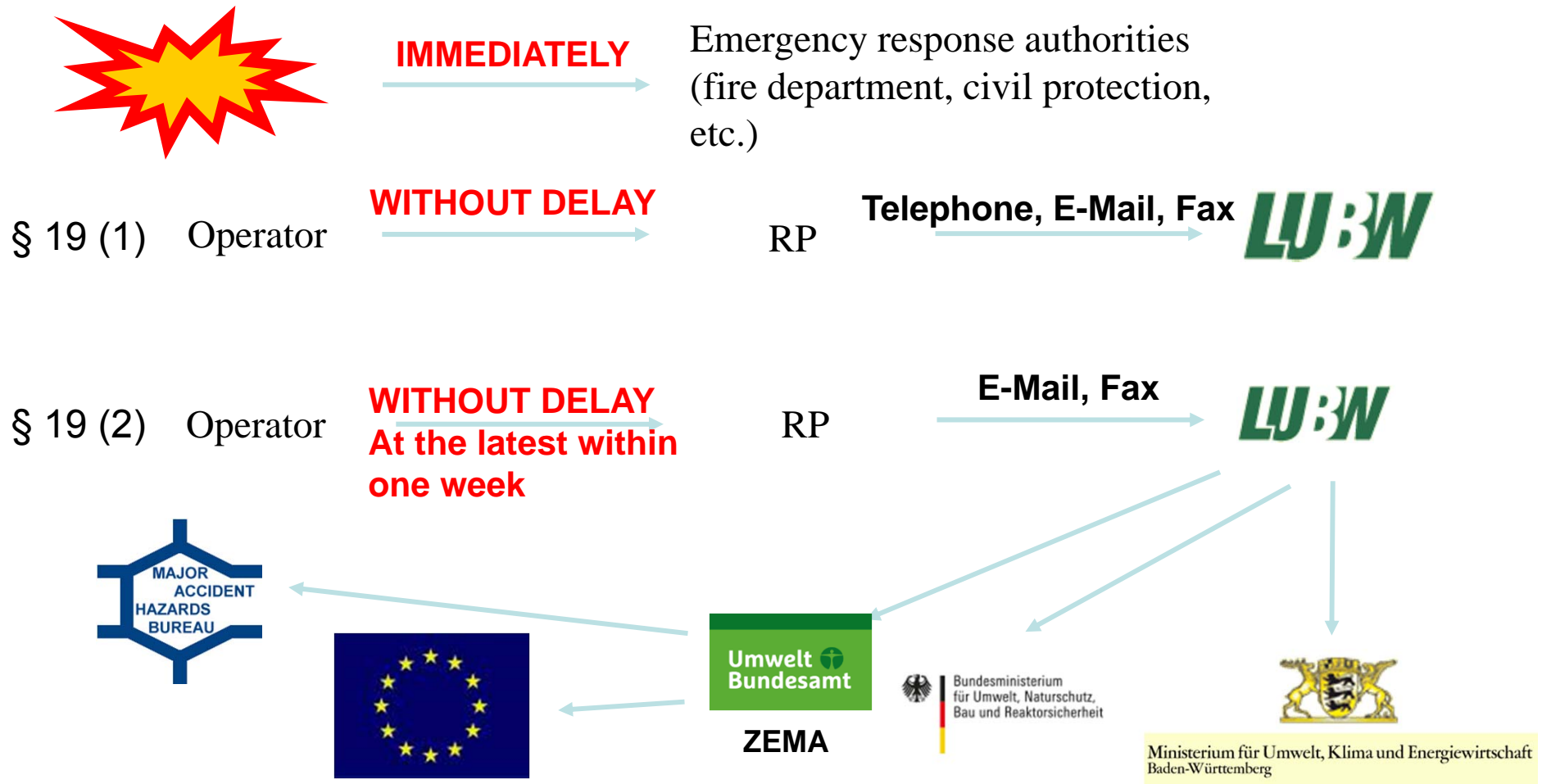


# Incident reporting - Basis in Baden-Württemberg

- § 19 Störfall-Verordnung (Major Accident Ordinance ) "**Meldeverfahren**" – **Reporting process** and Anhang VI StörfallV "Meldungen / Kriterien / Inhalte" - „Reporting / Criteria / Contents“
- Erlasse des Umweltministeriums / Ministerial decrees vom 20.10.2005, Az.: 45-8820.10/12.VO und vom 12.11.2015, Az.: 4/8820-10/12.VO
- LAI-Leitfaden\* zur Erfassung, Aufklärung und Auswertung von Störfällen und Störungen des bestimmungsgemäßen Betriebs im Sinne der Störfall-Verordnung – Guidance document from the Federal and Länder Committee on Air Pollution Protection
- Competent authorities: Regierungspräsidien und LUBW

\* LAI = Bund/Länder-Arbeitsgemeinschaft für Immissionsschutz

# Reporting process in Baden-Württemberg



# What experience has shown to be practicable! (1)

## Once an RP receives notification of an incident:

- **Contact the LUBW** (Telephone, E-Mail)

Usually the LUBW will develop a list of questions so that important (known or easily established) information is collected. This allows an initial analysis of the incident, causes, follow-up, need for measures.

The LUBW has the goal to have an on-site inspection within one week. This is carried out jointly with the RP inspector and involves discussions with the operator, visually inspecting the location (if safe), ensuring that documents are secured and deciding on next steps.

# What experience has shown to be practicable! (2)

- From the information which is gathered and the discussion with the operator a decision is taken jointly with the RP regarding how to proceed.
  - The operator carries out the investigation
  - The authorities and the operator investigate in co-operation
  - A third-party expert will be contracted by the operator to carry out an investigation according to the instructions of the authorities.

The specialist technical authorities in Baden-Württemberg believe that they are sufficiently competent to draw their own conclusions with regard to the causes.

- Goal: Incident analysis of sufficient depth so that not only the obvious, but also the less obvious causes can be identified
- The initial, intermediate and final notifications of the incident (together with all reports and other necessary documentation are sent from the Operator via the RP to the LUBW.